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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/815,555	04/01/2004	Scott A. Chalmers	02578.0008.NPUS01

27240
 HOWREY SIMON ARNOLD & WHITE, LLP - OC
 301 RAVENSWOOD AVENUE
 BOX 34
 MENLO PARK, CA 94025



CONFIRMATION NO. 7145

FORMALITIES LETTER



OC000000012983184

Date Mailed: 06/17/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$1332** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$2232** for a Large Entity

08/20/2004 DABRAHA1 00000065 003036 10815555

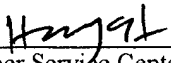
01 FC:1001	770.00 DA
02 FC:1051	130.00 DA
03 FC:1201	612.00 DA
04 FC:1201	430.00 DA
05 FC:1203	250.00 DA

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$1332**
 - **\$430** for **5** independent claims over 3.
 - **\$612** for **34** total claims over 20.
 - **\$290** for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Howrey Docket No. 02578.0008.NPUS01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Scott A. Chalmers, et al.

Application No.: 10/815,555

Filed: April 1, 2004

For: **WHOLE-SUBSTRATE SPECTRAL
IMAGING SYSTEM FOR CMP**

Art Unit: 3723

Examiner: Unassigned

TRANSMITTAL OF MISSING PARTS

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In response to the **Notice to File Missing Parts of Non-Provisional Application** mailed June 17, 2004, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☒ Copy of the Notice to File Missing Parts;
- ☒ Original Declaration executed by the inventors;
- ☒ Copy of Filing Receipt with corrections to be made; and
- ☒ Return postcard.

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

August 17, 2004
Date of Deposit

Robin L. Clow
Name of Person Mailing Paper

R. Clow
Signature of Person Mailing Paper

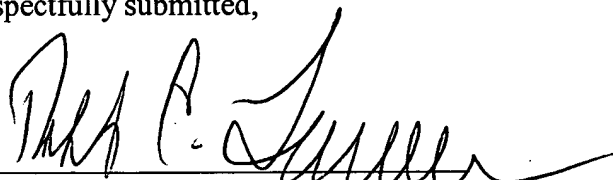
The fees due are calculated as follows:

Filing Fee for Patent Application (37 C.F.R. § 1.16)	\$770.00
Fee for excess claims over 20 (37 C.F.R. § 1.16)	612.00
Fee for excess Independent Claims	430.00
Surcharge for Multiple Dependent Claims	290.00
Surcharge for late filing of Declaration (37 C.F.R. § 1.16)	130.00
Extension of time fees under 37 C.F.R. § 1.17	.00
TOTAL FEES SUBMITTED HERewith	\$2,232.00

The U.S. Patent and Trademark Office is hereby authorized to charge \$2,232.00 to our Deposit Account No. **08-3038**, referencing Docket No. 02578.0008.NPUS01. A duplicate copy of this transmittal is enclosed.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. **08-3038**.

Respectfully submitted,



Robert C. Laurenson, Reg. No. 34,206

Date: August 17, 2004

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